# Yale University ITS, Information Security Office

Director - H. Morrow Long

The Internet: Co-Evolution of Technology and Society

CPSC 156a, Fall 2003

Guest Lecture

Title: Information security in the new digital millennium -- How now Computer and Network Security is everyone's business (and problem).

November 13 2003

Yale Information Security Committee

YaleCERT (Computer Emergency Response Team)

ITS INFORMATION SECURITY OFFICE

Establishment

Mission/Charter

**FIRST YEAR** 

Incidents

Initiatives

Plans

# Yale University, IT Advisory Cmte, Information Security SubCommittee

Non-ITS Committee Members

#### Robert McNeil

**Director of University Auditing** 

#### **John Mayes**

Director of Procurement

#### **Rotating Position**

Associate Provost

#### **Susan Sawyer**

Deputy General Counsel Office of General Counsel

#### **Stacy Ruwe**

Executive Director Financial Operations School of Medicine

# ITS Information Security Office

#### **H. Morrow Long**

Director and CISO

#### **Allison MacFarlan**

Academic ISO

#### **Jim Hackett**

Administrative Systems ISO

# Committee Members

#### Philip E. Long

University Director of Information Technology

#### **Charles Powell**

**Director of Academic Computing** 

#### **Indy Crowley**

**Director of Administrative Systems** 

#### **Andrew Newman**

Director of Technology & Planning

#### Joseph P. Paolillo

Associate Director of Data Network Operations

#### **David Stagg**

Director of InfoSec School of Medicine, ITS

# H. Morrow Long, "Yale University",

Formal Title: Director of Information Security,
DMCA N Agent, CS Fac, He who delivers bad news,
Official Interpreter of IT policy, gentle introducer to DMCA
and Copyright issues to Frosh at Orientation.

Private Institution where Bill met Hillary, dubya was a frat boy.

In house counsel (20 person office, we get sued a lot!)
Keeping our students from being sued by the RIAA. At
one point I was tasked with finding someone to pay (off).



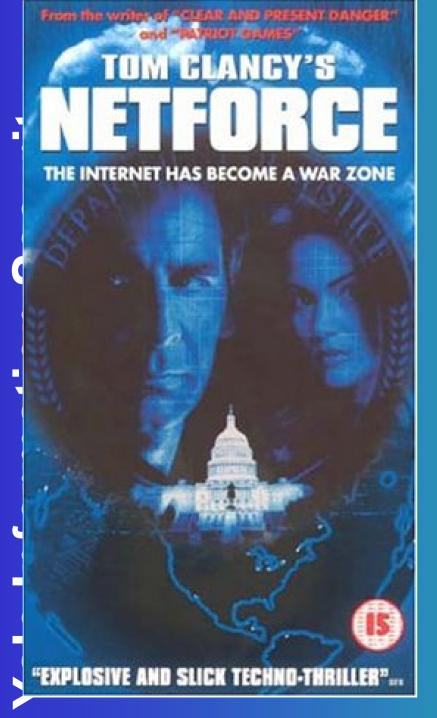
# Yale Information Security



# Yale Information Security







www.yale.edu/its/security

Security@yale.edu



# HACKERS CANTURN YOUR HOME COMPUTER

By RANDY JEFFRIES / Weekly World News

WASHINGTON - Right now, computer hackers have the ability to turn your home computer into a bomb and blow you to Kingdom Come - and they can do it anonymously from thousands of miles away!

Experts say the recent "break-ins" that paralyzed the Amazon.com, Buy.com and eBAY websites are tame compared to what will happen in the near future.

Computer expert Arnold Yabenson, president of the Washington-based consumer group National CyberCrime Prevention Foundation (NCPF), says that as far as computer crime is concerned, we've only seen the tip of the iceberg.

"The criminals who knocked out those three major online businesses are the least of our worries," Yabenson told Weekly World News.

"There are brilliant but unscrupulous hackers out there who have developed technologies that the average person can't even dream of. Even people who are familiar with connected to it. When the receiver

... & blow your smithereens

KABOOM! It might not look like it, but an innocent home computer like this one can be turned into a deadly weapon.

how computers work have trouble getting their minds around the terrible things that can be done.

"It is already possible for an assassin to send someone an e-mail with an innocent-looking attachment

> downloads the attachment, the electrical current and molecular structure of the

"As shocking as this is, it shouldn't surprise anyone. It's just the next step in an ever-escalating progression of horrors conceived and instituted by hackers."

Yabenson points out that these dangerous sociopaths have already:

- · Vandalized FBI and U. S. Army
- websites. Broken into Chinese military
- networks. Come within two digits of crack-

scarier." Yabenson said.

"Soon it will be sold to terrorists cults and fanatical religious-fringe groups.

"Instead of blowing up a single plane, these groups will be able to patch into the central computer of a large airline and blow up hundreds of planes at once.

"And worse, this e-mail bomb program will eventually find its way into the hands of anyone who wants



Sickos can wreak death and destruction from

# YaleCERT (Yale Computer Emergency Response Team)

ITS-Admin Sys

ITS Systems (SAs)

**ITS Data Network** 

**Operations (DNO)** 



H. Morrow Long

Information Security Office



**ITS Academic** Computing & **Technology Planning**  **US CERT** 



Yale Library

Other depts

ITS - Med

Joseph P. Paolillo **Associate Director** 

> **Craig Carter** Cisco Network Engr.

John Greenwald Asst. Cisco Network Engr.



Yale Network Users

**Computing Assistants (CAs)** Undergraduates

**Faculty Support Program FSPs** 

Institution Web and Email **Teams** 

> Richard \*\*ris Universit E-Postmaster

Yale WebMasters

**David Stagg Director of InfoSec** 

**Richard Beebe** 



YSM/Hospital

# Establishment/History

မ်န်ငြoopers & Lybrand & ် Yale Auditing

**Audit Recommendation** 

Yale's external auditors (C &L) recommended establishing a Yale Information Security Officer in yearly audits. Yale's internal auditors recommended establishing a Yale Information Security Officer in yearly audits.

🚼 December

Position Posted

Yale posts an Information Security Officer position.

Yale interviews Information Security Officer position candidates through the year in 1996.

June

ISO Hired.

Yale hires its first Information Security Officer.

Yale Information Security (Policy & Steering)
Committee founded in summer of 1997.

Plans, Policies
Formalization.

Define formal structure and Mission for Yale Information Security Office. Official charter for Yale Information Security Committee.

# Mission / Charter

MISSION

Statement

To support the goals of the Yale enterprise by assuring the availability, integrity and confidentiality of information.

CHARTER

**Points** 

# Policies, Standards and Practices.

Propose, Advise, Coordinate, Write.

# **Assurance and Monitoring**

Auditing, Testing, Support, Detection.

# **Investigation and Enforcement**

Incident Handling and Tracking.

# **Awareness and Education**

Communication and Training.

# **Major Incidents**



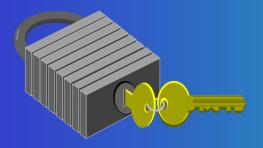
# **INTRUSIONS**

- Departmental Linux PCs Summer 1997
- Yale Library Web Server Intrusion Sept. 97
- ITS ACS Pantheon "Minerva" Break-In Oct. 97



### **DENIAL OF SERVICE ATTACKS**

- "SMURF" network broadcast bounce packet flood Spring 97 through Jan 1998.
- "Pepsi" floods via departmental Linux PCs and Pantheon accounts -- Summer and September 1997.
- "SPAM" relaying via YaleVM, ITS and CS E-Mail servers (Unsolicited Bulk/Commercial E-Mail). 1997-8.



#### **USER ACCOUNTS COMPROMISED**

- ITS ACS Pantheon "Minerva" Break-In Oct. 97
- Network Sniffing Reported Nov 97 Jan 1998.

# Yale InfoSec Incidents

- Sniffing
- Spoofing
- Spamming
- Flooding
- E-Mail forgery, harassment, etc.
- Web based identity theft.
- Intrusions (Unix and Linux computers)
- Account compromises (telnet, POP)
- Viruses
- Copyright, Software license infringement

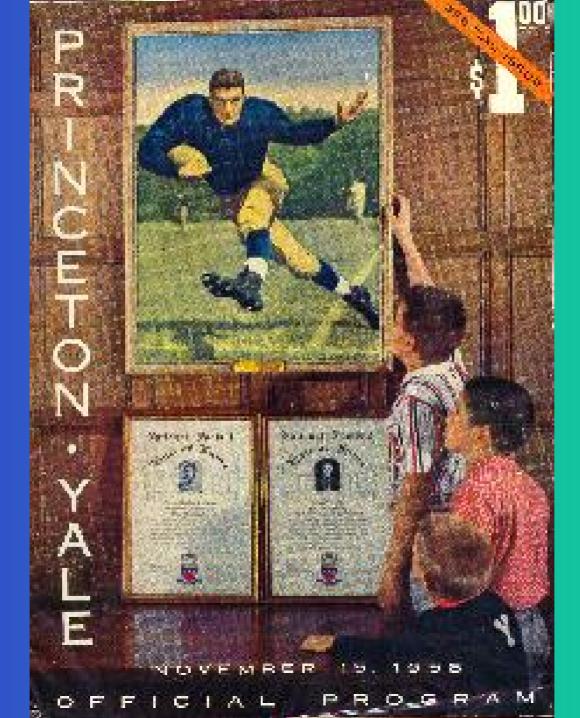


al lica.com/metdotcom/help/copyright\_trademark.html

# EXIT LIGHT..ENTER NIGHT BE EVIL



THE SOLD ANYS





# File-Sharing Application

Name	Size	Copyright
Volcano Red	5MB	C All Rights Reserved
Lady Volcano	6MB	C All Rights Reserved
Volcano Love	5MB	CC Some Rights Reserved
Raging Volcano	4MB	(C) All Rights Reserved
Volcano Blues	8MB	© All Rights Reserved

# Search

Volcano



# Security Information

# ITS Academic Computing System (ACS) Pantheon

# Anatomy of an incident

"Minerva" October 14 1997 "Break-In"

# **Incident Handling**

ITS ACS Aleks Margan notices break-in.

#### Aleks pages the Univ. ISO via beeper.

- We investigate.
- We assess damage.
- We determine only one machine affected.
- We plan shutdown and swap with fresh "hot spare" system.
- We contact ITS Dir.

We shut down Minerva and swap in a freshly installed "hot spare" machine as Minerva.

- We meet with ITS TP & ACS directors.
- We decide to shut the Banner student Web.
- We decide to force a password change.
- We prepare a statement.

We shut down the "Banner" student information system Web interface.

- Users logging in on the Pantheon & Yale Web server are prompted to change their password.
- We force students who login to change their passwords in two weeks.
- Other users (E-Mail) are given a grace period.

# **Aftermath**

ISO dissects attack during the night of 10/14-15.

#### Prepares CERT & YaleCERT reports.

- Minerva infosec audit.
- Evidence of intruder sessions (w/accounts & programs and source of attacks) found in logs.
- Log files secured.
- Press releases to and interviews with Yale Daily News and Yale Herald.

#### **Pantheon Security Review and Prevention Steps**

- Solaris OS patch procedure audited & reviewed.
- •Tripwire software specified and installed on Pantheon systems.

### **Follow Through Actions**

- Yale Police notified.
   They contact FBI.
- Other Internet sites & Yale admins notified.
- Offending network's IP address blocked.
- Banner student system re-enabled.
- Pantheon Kerberized login and E-Mail access to be promoted in 1998 (encrypted auth & data).

# **Initiatives**



# Administrative Systems

**Project X Security Design** 

B

# Academic Systems and Data Network

Firewall Access to Servers from Intra- & Internet

**Non-Project X Security Design** 

- YHP & YSM IDX
- Telecom

**Secure Access to Servers** by Staff and Vendors

**Server Security Standards** 

- Physical
- Hardware
- Software
  - OS
  - App Encrypt

**Business Continuity Planning** and Auditing

Std Policies & Procedures

Password

Internet Border & Physical Intranet Security

**Increase Security Awareness** 

- E-Mail
- Network

Secure Access to Systems by Staff and Users

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**Increase Security Awareness** 

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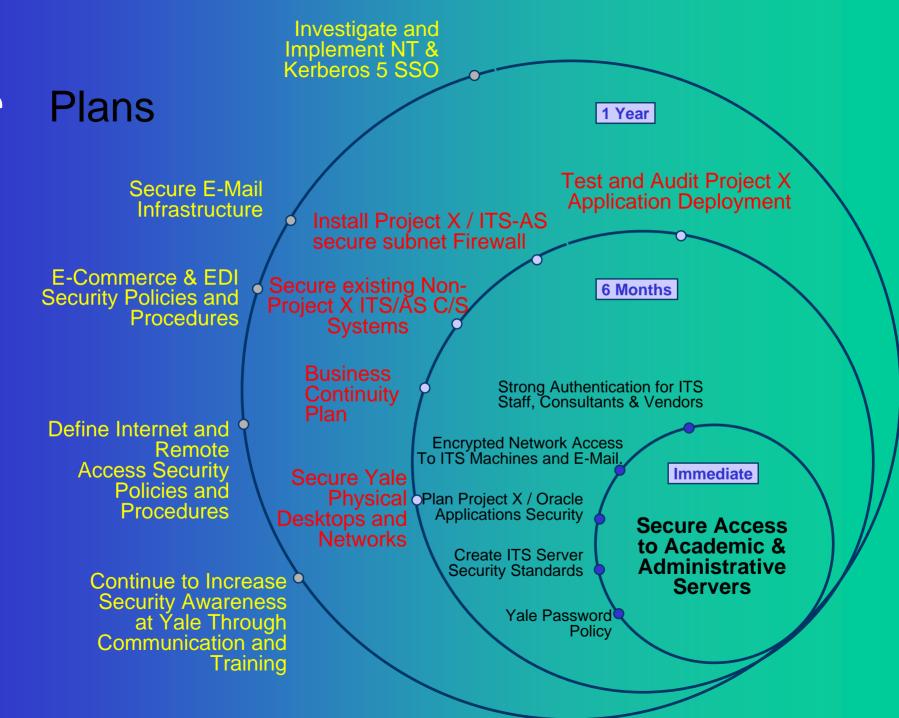
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**Business Continuity Planning and Auditing** 

Std Policies & Procedures
• Password



# Information Security At Yale

## Information Security is responsible for:

- Network monitoring with regard to security (scanning, flow monitoring).
- Investigations: compromise, harassment, denial of service attacks, forensics.
- Providing information about vulnerabilities, patches, viruses and worms.
- PIX firewall configuration and management.
- Content switch management.
- Yale community Information Security education.
- Enterprise security tools management: PGP, Norton Anti-Virus.
- Security Architecture evaluation and enhancement.
- Security policy development.
- Certificates (Verisign, Yale self-signed web and identity certificates).
- DMCA complaint processing and forwarding.
- Departmental/HIPAA/GLBA security audits and risk analysis.

# Information Security At Yale

# How we know what's up:

- We analyze our snort and firewall logs every day.
- We can see all the DNO monitoring tools and we can look at traffic to specific machines or ports at our "front" connections.
- We scan the enterprise for vulnerabilities.
- We get complaints from other institutions about attacks from Yale student machines.
- Students and staff call us when they notice something weird.
- We get DMCA complaints, warrants and subpoenas.
- The Police/FBI give us a call.

# **Outline**

- Introduction -- Yale Information Security
- Background on Yale University, IT AND Computing Environments
- Key Issues, Axioms and "Lessons Learned"
- Rollout Issues
- Real World (Yale) Security Case Studies
- Conclusion

# **Background on Yale University**

- 20,000 NetIDs (Yale Kerberos/NT Accounts)
- 10,000 students (5,000 undergrad)
- 10,000 employees (faculty and staff)
- \$7.2 billion endowment due to alumni and shrewd investments (\$3.5 billion in 1994).
- 200+ buildings.
- Medical school is 40% and self-sufficient.
- Major employer in City of New Haven.

# Yale Univ. Net/Computing Environ 16,000+ IP addresses, 300+ Web servers 2 Public Class B networks (128.36, 130.132) and Several Class C networks. 350+ subnets (300 10 mbits, 50 100 mbits) 100 mbit switched/routed backbone -> gbit Enet 10 megabit/second commercial Internet (TCG/Cerfnet). Soon to be 15 mbits/sec. 45 megabit/second Internet2 via vBNS (to be 155 megabits/second via Qwest)

Used to be heavily Macintosh, now heavily Windows NT on administrative desktops.

# Background on Yale University IT Organization (ITS)

- 350+ Employees
- 24x7 Professional Production environment (Administrative, E-Mail, Web, etc.)
- Legacy Mainframe transition to "client/server rightsizing Y2K business-re-engineering" Big Bang:
  - New Oracle Financials and Data Warehouse
    - AP/PO, GA/GL, HR/LD, Data Mart/Mining
- SCT Banner, Telecom, IDX, MPAC

- Univ. Information Security Officer and Office
- Academic Media and Tech (formerly ACS)
- RIS (merged Repro and Printing)
- - Desktop, Help Desk, Store, Training, User Accounts

- Yale University IT Org (ITS)
   Almost all ITS subunits are stated charge-back units (but not Info Security) Almost all ITS subunits are standalone charge-back units (but not Information
  - All students are charged a yearly \$200 for:
    - 10 megabit Ethernet jack in dorm room
    - Phone in room.
    - CATV in room.
  - Most faculty and staff have a Windows NT PC (Pentium 200, 64MB RAM) on 10BaseT. Approx \$16 to \$25 monthly.

# Yale ITS Administrative Client Computing **Environment**

**ADSM** 

**Meeting Maker** 

Central E-Mail: Pine, Eudora, POP, IMAP

**Norton Anti-Virus** 

Oracle Financials, Oracle Express, OFA, Brio Kerberos 4, NT 4 (incl. Academic lab PCs)

Static and DHCP (including roaming) IP addr.

Netscape Communicator 4.7
Hummingbird Host Explorer v **Hummingbird Host Explorer w/Kerberos** 

# Yale ITS Administrative Server Computing

Norton Anti-Virus on NT

Oracle 7, 8

AIX 4.3.\*, Solaris 2.X, NT 4 w/SP5

SSH, FTP over SSL on AIX, Sun servers

PCAnywhere32 v8 on NT 4 Servers

Netscape Enterprise Web servers on Unix

IIS 3.0 and 4.0 Web servers on NT 4

Oracle (Application) Web servers (Spyglass)

Yale ITS Administrative Server Computing Environment

Legacy Mainframe - Y2K move to new mainframe 25+ IBM RS/6000s (including 2 12 CPU S-70s with (7) several GB RAM and other hi end) 25+ IBM PC Servers (several hi end with GB RAM) 4 Sun Ultra Enterprise Servers for general 4 Sun Ultra Enterprise POP/IMAP servers
10+ Web servers (incl www.yale.edu mirror)
Redundancy & H/A, DR, Load Balancing Impl.

# Yale

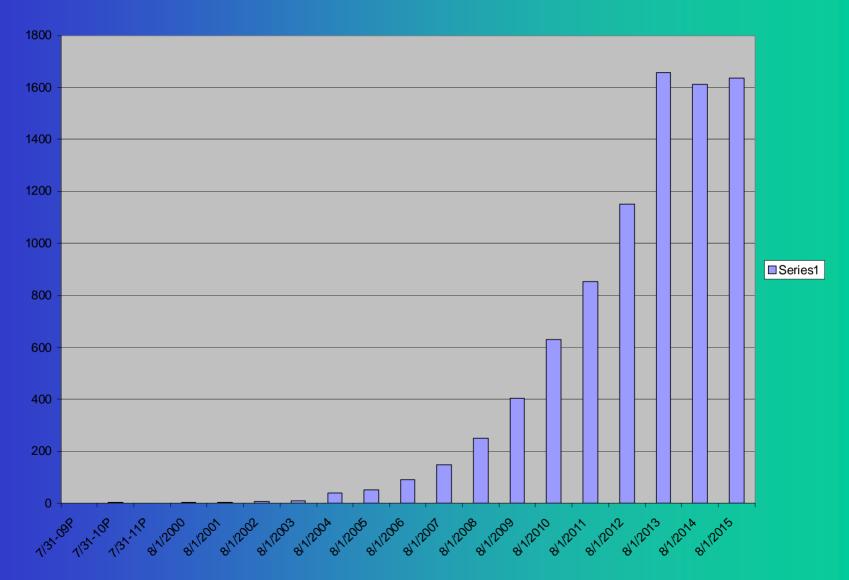
- Layered approach:
  - Blocked a few ports at campus border in 92, lpr in 2K, NetBIOS in 01, SQLserver in 02.
  - Internal use of firewalls.
  - Add'l use of RFC1918 networks.
  - Some use of VLANs (e.g. for wireless).
- Proactive Scans w/ISS & Nessus.
- Snort IDS at Internet border and internal choke points (custom bidirectional rules).
- Cisco VPN server(s) on campus.
- Packeteer<sup>™</sup> inline for bandwidth mgt at Internet border.

# Viruses / Worms, NetSec and Reaction

• 1988	RTM Jr. (	(1988)	
--------	-----------	--------	--

- 1998 Melissa/ILOVEYOU
- 2000 Web and Lpr/lpd worms
- 2001 CodeRed 1 & 2, NIMDA (2001)
- 2002 "Slapper" (A/B/C) Apache SSL Worm
- 2003/2 SQL Slammer / Sapphire
- 2003/6 BugBear
- 2003/8 Stealther / Blaster

# CodeRed Worm 1<sup>st</sup> Activation



### Internet Security History & HE IT

- 1986 Major NSF funding for national backbone & regional supercomputer centers
- 1988 Robert Morris & the Internet Worm
- 1988 Creation of CERT at CMU
- 1989 The Cornell Commission report
- 1989 Clifford Stoll's <u>The Cuckoo's Egg</u>
- 1991 CIX, commercial use, & Gopher

### Internet History, cont'd 1993 – Mosaic browser released by UIUC 1993-4 ISP Sniffing attacks (PANIX, NearNet) 1994-5 Kevin Mitnick demos TCP Hijacking. 1995 – National backbone privatized 1995 – SATAN released by Farmer & Venema 1996 – PANIX, Internet Chess Server, and other web sites shut down by SYN attacks. 1996 – Internet 2 consortium formed

### 2000-2001 Academic InfoSec

- Feb Distributed Denial of Service (DDoS) attacks bring down key .COM sites; university sites implicated (UC Davis, UCLA, Stanford, etc.)
- June SANS Top Ten list released.
- June-July Univ. of Washington Medical Center intrusion. 4000 medical records involved. No firewall protecting server.
- Feb 2001 Indiana University Bursar server with anon FTP enabled and student records.
- March 40+ E-Commerce NT/IIS servers hacked from E. Europe. Credit card #s. FBI NIPC alert.

### Higher Education Computer Security 2000-2003

- Hacker Steals Personal Data on Foreign Students at U. of Kansas Chronicle of Higher Education, 1/24/2003
- UMBC students' data put on Web in error Baltimore Sun, 12/7/2002
- Why Was Princeton Snooping in Yale's Web Site?
   Chronicle of Higher Education, 8/9/2002
- Delaware Student Allegedly Changed Her Grades Online
  - Chronicle of Higher Education, 8/2/2002

#### ... 2000-2003

- Russian Mafia May Have Infiltrated Computers at Arizona State and Other Colleges Chronicle of Higher Education, 6/20/2002
- Hacker exposes financial information at Georgia Tech ComputerWorld, 3/18/2002
- College Reveals Students' Social Security Numbers Chronicle of Higher Education, 2/22/2002
- Hackers Use University's Mail Server to Send Pornographic Messages
   Chronicle of Higher Education 8/10/2001

#### ... 2000-2003

Review to ensure University of Montana
 Web security
 Montana Kaimin, 11/14/2001

- 'Code Red' Worms Linger
   Chronicle of Higher Education, 9/14/2001
- Students Fault Indiana for Delay in Telling Them About Stolen Files
   Chronicle of Higher Education, 3/16/2001

#### ... 2000-2003

- [UWashington] Hospital records hacked hard SecurityFocus.com, 7/12/2000
- 3 Universities in California Find Themesleves Linked to Hacker Attacks Chronicle of Higher Education 2/25/2000
- Hackers Attack Thousands of Computers on at Least 25 U.S. Campuses
   Chronicle of Higher Education, 3/13/1998
- UT Austin: 55,000 SSNs and Personal Records 'data mined' by intruder
- Princeton University:

### 2001-2003 Worms

- 2001: CodeRed, CodeRed II, NIMDA Worms
- 2002: "Slapper" (A/B/C) Apache OpenSSL Worm
- 2003: SQL Slammer / Sapphire Worm

- The Current Situation

  The Internet is a world mission-critical infrastr

  Internet's underlying st The Internet is a world-wide, increasingly mission-critical infrastructure
  - Internet's underlying structure, protocols, & governance are still primarily open
  - Many vendors ship systems w/ insecure configs (NT, Linux, W2K, Unixes, IIS)
  - Massive CPU power & bandwidth available to crackers as well as scientists, e-commerce
  - Many college & university networks are insecure

### Information Security in HE

- Research universities: deployment of workstations & servers by researchers whose talents are usually focused elsewhere
- Smaller institutions: dearth of tech skills
- Dorm networking: little adult supervision
- Too few security experts; weak tools;
   most institutions have no InfoSec office.
- Few policies regarding systems security

### Information Security in US HE

- 3500+ Colleges and Universities
- > 1000 Community colleges
- < 100 major research universities</p>
- 125+ University Medical Schools
- 400 Teaching Hospitals
- 150+ Institutional members of Internet2

### Targets of Opportunity on US HE Computer Networks

- Sensitive Data
  - Credit Card #s, ACH (NACHA) bank #s
  - patient records (SSN)
  - student records (SSN)
  - institution financial records
  - Investment records
  - donor records
  - research data

# Why US HE Computer Networks are attractive targets Platforms for launch Wired dorms (insect High bandwidth Inter

- Platforms for launching attacks
  - Wired dorms (insecure Linux PCs, PC Trojans)
  - High bandwidth Internet (Fract T3, T3, T3+)
  - High computing capacity (scientific computing clusters, even web servers, etc.).
  - "Open" network security environment (no firewalls or only "light" filtering routers on many high bandwidth WANs and LANs)
  - Trust relationships between departments at various Universitiess for research (e.g. Physics)
  - Univ research lab computers are often insecure and unmanaged.

## Unique Challenges to implementing Information Security in Higher Ed

- Academic "Culture" and tradition of open and free networking
- Lack of control over users
- Decentralization (no mainframe anymore)
- Lack of financial resources

Yale

- Creative Network Anarchy anyone can attach anything to the Pnetwork
- Thas not always been central to institutional mission -- changing attitudes and getting "buy in" requires politics and leadership.

### What should US HE IT be doing W.R.T. Information Security

- Investigating network security methods.
- Investigating strong authentication methods (e.g. smart cards, tokens).
- Evaluating "best practices" in:
  - Higher Education
  - Corporations
  - Government
  - Military
- Developing common recommended policies.

#### Trends in Academic InfoSec

- E-Commerce site threaten litigation against future DDoS sites.

  Liability for negligence?
- Clnsurance companies begin to rewrite liability policies, separate cyber' policies to require info security vulnerability consistency assessments & changes.
- Funding agencies to require firewalls, security?
- HIPAA is a "forcing function" in academic Medical Centers.
- FERPA, COPPA, DMCA, Privacy legislation.
- HE InfoSec doesn't improve, will more federal legislation be a series of the series of

### InfoSec Trends Elsewhere

- Some of the K-12 school system networks are the only sites (in the US) which have worse network and system security than .EDU sites.
- Information security at State gov. agencies and municipal governments is a mixed bag.
- Outside US some academic institutions are more tightly controlled (e.g. Internet access is severely restricted), some not.

### InfoSec Trends Elsewhere

- MIL sites take steps to secure data and servers (Mac web servers, data isolation/classification). Broke initial ground in IDS (Intrusion Detection Systems).
- GOV NIST has released draft guidelines/recommendations for info security to be implemented at Federal Government agencies.

### InfoSec Trends Elsewhere

COM sites – Some web sites have poor security (even those outsourced), some (e.g. financial) strive to be state of the art.

Insurance/auditors requiring security assessments for policies.

BS 7799 / ISO/IEC 17799-1 InfoSec Mgt stds

CISSP / CISA / SANS GIAC / Vendor (Microsoft/Cisco/Checkpoint) certifications of Information Security personnel

Corporate InfoSec Trends, relatively rare in US HE) Firewalls, proxies, user access control Network monitoring, bandwidth management Extensive logging, logfile analysis **IDS – Intrusion Detection Systems** VPNs (Virtual Private Networks) - PPTP, L2TP, IPSEC Strong Authentication – PKI, Smartcards Vulnerability scanning (internal, external) Change Control / Management Managed Security Services (e.g. outsourced) Yale

### Why should higher ed care?

- Improperly secured computers and networks present considerable institutional risk and can impact ability to achieve mission
- Improperly secured college and university IT environments can cause harm to third parties, including gov't and industry, and create liability

### Higher Ed and Cybersecurity

- Education and Training
  - Centers of Excellence
  - Professional Training and Certification
- Research and Development
  - Cyberinfrastructure
  - Basic and Applied Research (DARPA, NSF, etc.)
- Securing Our Corner of Cyberspace!