

Randomized Algorithms

Randomized Algorithm: allow decisions based on coin flips

Algorithm A solves X with one-sided error if

RP

for some constant $\epsilon > 0$

$X(x) = \text{YES} \rightarrow A(x) = \text{YES}$ with prob $p > \epsilon$
 $X(x) = \text{NO} \rightarrow A(x) = \text{NO}$ with prob = 1

two-sided error

$X(x) = \text{YES} \rightarrow A(x) = \text{YES}$ with prob $p > \frac{1}{2}$
 $X(x) = \text{NO} \rightarrow A(x) = \text{NO}$ with prob $p > \frac{1}{2}$

BPP

ZPP: decision problems with solutions with polynomial expected running time
(but possibly unbounded worst case)

$$P \subseteq ZPP \subseteq NP$$

↑ ↑
don't know if these
are proper

(and if some NP-complete X satisfies $X \in ZPP$, then $ZPP = NP$)

Median

FIND-MEDIAN: Given array A of distinct integers, return the median

FIND-MEDIAN-SORT(A)

$n \leftarrow \text{len}(A)$

MERGE-SORT(A)

return $A[\frac{n}{2}]$

$\Theta(n \log n)$

comparisons

lower bound: $\Omega(n)$ comparisons

divide-and-conquer : $< 5.44n$ comparisons

Dor and Zwick : $< 2.95n$ comparisons

Select

$k=0 \rightarrow \min$
 $k=n-1 \rightarrow \max$
 $k=\frac{n}{2} \rightarrow \text{median}$

SELECT: Given array A of distinct integers and k , return the element of rank k

SELECT(A, p, q, k)

if $p=q$ return $A[p]$

$r \leftarrow \text{PARTITION}(A, p, q)$

if $k=r$

return $A[p+r]$

else if $k < r$

return SELECT($A, p, p+r-1, k$)

else

return SELECT($A, p+r+1, q, k-r-1$)

PARTITION(A, p, q)

pivot $\leftarrow A[p]$

lastSmall $\leftarrow p$

for $i=p+1$ to q

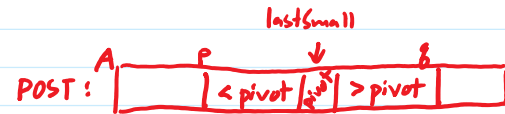
if $A[i] < \text{pivot}$

lastSmall $\leftarrow \text{lastSmall} + 1$

swap($A, i, \text{lastSmall}$)

swap($A, p, \text{lastSmall}$)

return lastSmall - p



$n-1$ element
comparisons

if 50/50 split: $T(n) = T(\frac{n}{2}) + O(n) \rightarrow T(n)$ is $\Theta(n)$

worst case (sorted): $T(n) = T(n-1) + O(n) \rightarrow T(n)$ is $\Theta(n^2)$

Select

$k=0 \rightarrow \min$
 $k=n-1 \rightarrow \max$
 $k=\frac{n}{2} \rightarrow \text{median}$

SELECT: Given array A of distinct integers and k, return the element of rank k

RANDOMIZED

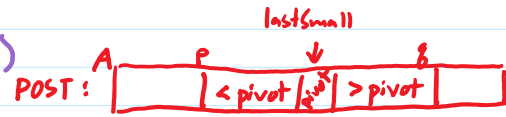
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RANDOMIZED-SELECT(A, p, q, k)
  if p=q return A[p]
  r ← PARTITION(A, p, q)
  if k=r
    return A[p+r]
  else if k < r
    return SELECT(A, p, p+r-1, k)
  else
    return SELECT(A, p+r+1, q, k-r-1)
    
```

RANDOMIZED-PARTITION(A, p, q)

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  swap(A, p, random(p, q))
  pivot ← A[p]
  lastSmall ← p
  for i=p+1 to q
    if A[i] < pivot
      lastSmall ← lastSmall + 1
      swap(A, i, lastSmall)
  swap(A, p, lastSmall)
  return lastSmall - p
    
```



n-1 element comparisons

if 50/50 split: $T(n) = T(\frac{n}{2}) + O(n) \rightarrow T(n)$ is $\Theta(n)$

worst case (sorted): $T(n) = T(n-1) + O(n) \rightarrow T(n)$ is $\Theta(n^2)$

RANDOMIZED-SELECT: worst case still $\Theta(n^2)$

expected comparisons $< 4n$

RANDOMIZED-QUICKSORT: uses RANDOMIZED-PARTITION

worst case $\Theta(n^2)$
 expected $\Theta(n \log n)$

MAX-3-SAT

exactly 3 terms/clause; no variable appears more than once per clause

MAX-3-SAT: given 3-CNF φ , find assignment that maximizes # of true clauses

decision problem is NP-complete

$k = \# \text{ of clauses}$

RANDOMIZED-APPROX-MAX-3-SAT(φ) returns an assignment satisfying $\geq \frac{2}{3}k$ clauses (an $\frac{2}{3}$ -approximation)

repeat # iterations unbounded, but $E[\text{iterations}] = \text{polynomial}$

for $i=1$ to n

if $\text{random}() < \frac{1}{2}$

$x_i \leftarrow T$

else

$x_i \leftarrow F$

result $\leftarrow \text{count-T-clauses}(\varphi, x_1, \dots, x_n)$

until result $\geq \frac{2}{3}k$

return x_1, \dots, x_n



$$\frac{2}{3}k \leq \text{max \# satisfied clauses} \leq k$$

RANDOMIZED-APPROX-MAX-3-SAT

RANDOMIZED-APPROX-MAX-3-SAT(φ)

repeat

assign x_1, \dots, x_n uniformly randomly

result \leftarrow count-T-clauses(φ, x_1, \dots, x_n)

until result $\geq \frac{7}{8}k$

return x_1, \dots, x_n

let $p = P(\text{random assignment satisfies } \geq \frac{7}{8}k \text{ clauses})$ then $p \geq \frac{1}{8k}$

$$\begin{aligned} E[\text{iterations}] &= \sum_{j=1}^{\infty} j \cdot P(\text{stopped after exactly } j \text{ iterations}) \\ &= \sum_{j=1}^{\infty} j \cdot P(\text{didn't stop after } 1^{\text{st}} \dots j-1) \cdot P(\text{stopped after } j) \\ &= \sum_{j=1}^{\infty} j \cdot (1-p)^{j-1} \cdot p \\ &= p \cdot \sum_{j=1}^{\infty} j \cdot (1-p)^{j-1} \quad \left(\sum_{j=1}^{\infty} j \cdot r^{j-1} = \frac{1}{(1-r)^2} \text{ for } 0 < r < 1 \right) \\ &= p \cdot \frac{1}{p^2} = \frac{1}{p} \\ &\leq 8k \quad \left(p \geq \frac{1}{8k} \right) \end{aligned}$$

RANDOMIZED-APPROX-MAX-3-SAT(φ)

repeat

assign x_1, \dots, x_n uniformly randomlyresult \leftarrow count-T-clauses(φ, x_1, \dots, x_n)until result $\geq \frac{7}{8}k$ return x_1, \dots, x_n let $p = P(\text{random assignment satisfies } \geq \frac{7}{8}k \text{ clauses})$ let $Z = \# \text{ clauses satisfied}$ $Z_i = \begin{cases} 1 & \text{if clause } i \text{ satisfied} \\ 0 & \text{otherwise} \end{cases}$

$$E[Z_i] = \frac{7}{8} \quad \begin{aligned} &P(\text{satisfied}) \\ &= 1 - P(\text{not satisfied}) \\ &= 1 - P(F \vee F \vee F) \\ &= 1 - \left(\frac{1}{2}\right)^3 = \frac{7}{8} \end{aligned}$$

$$Z = Z_1 + \dots + Z_k \quad \text{so} \quad E[Z] = E[Z_1] + \dots + E[Z_k] = \frac{7}{8}k$$

$$\text{also} \quad E[Z] = \sum_{j=0}^k j \cdot P(j \text{ clauses satisfied}) \quad \text{call this } p_j$$

$$= \sum_{j < \frac{7}{8}k} j \cdot p_j + \sum_{j \geq \frac{7}{8}k} j \cdot p_j \quad (\text{split the sum})$$

$$\leq \sum_{j < \frac{7}{8}k} \left(\left\lceil \frac{7}{8}k \right\rceil - 1\right) \cdot p_j + \sum_{j \geq \frac{7}{8}k} k \cdot p_j \quad (j \leq \left\lceil \frac{7}{8}k \right\rceil - 1 \text{ for } j < \frac{7}{8}k; j \leq k \text{ otherwise})$$

$$= \left(\left\lceil \frac{7}{8}k \right\rceil - 1\right) \sum_{j < \frac{7}{8}k} p_j + k \cdot \sum_{j \geq \frac{7}{8}k} p_j \quad (\text{factor out constants from } \Sigma)$$

$$= \left(\left\lceil \frac{7}{8}k \right\rceil - 1\right) \cdot (1-p) + k \cdot p \quad (p = \text{Prob}(\geq \frac{7}{8}k \text{ clauses sat}) = \sum_{j \geq \frac{7}{8}k} p_j)$$

$$\leq \left\lceil \frac{7}{8}k \right\rceil - 1 + kp \quad (1-p \leq 1)$$

$$\frac{7}{8}k \leq \left\lceil \frac{7}{8}k \right\rceil - 1 + kp$$

$$kp \geq \frac{7}{8}k - \left\lceil \frac{7}{8}k \right\rceil + 1$$

$$\geq \frac{1}{8}$$

$$p \geq \frac{1}{8k}$$

$$(E[Z] = \frac{7}{8}k)$$

(rearrange)

$$\left(\frac{7}{8}k - \left\lceil \frac{7}{8}k \right\rceil\right) \geq -\frac{7}{8}$$