

Problems and Lower Bounds

Problem: function $f: \text{inputs} \rightarrow \text{outputs}$ (or a relation if multiple outputs are correct)
an algorithm for more general cases must handle special cases too

SORT: given sequence of distinct integers, output order to select elts to put them in increasing order

SORT: $\{X \mid X \in \mathbb{Z}^n \text{ and } x_i = x_j \rightarrow i = j \text{ for all } i, j\} \rightarrow \mathbb{N}^n$
is defined by $\text{SORT}(X) = i_0, \dots, i_{n-1}$ s.t. i_0, \dots, i_{n-1} is a permutation of $0, \dots, n-1$
and $x_{i_0} < x_{i_1} < \dots < x_{i_{n-1}}$

Ex: $\text{SORT}(3, 2, 8, 6, 5) = 1, 0, 4, 3, 2$ $x_1=2 \quad x_0=3 \quad x_4=5 \quad x_3=6 \quad x_2=8$
 $\text{SORT}(703) = 0$

Lower Bound:

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Algorithm A solves problem X if $A(i) = X(i)$ for all inputs i

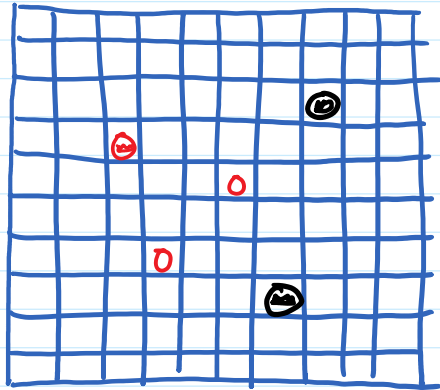
Solutions to SORT: mergesort, quicksort, bubble sort, insertion sort, selection sort, shell sort, heap sort
 $\Theta(n \log n)$ $\Theta(n^2)$
tree sort, Timsort, comb sort, monkey sort, ... worst case always $\Omega(n \log n)$

Lower Bound: $f(n)$ such that any solution for X has worst case $\Omega(f(n))$
Lower bound for SORT is $n \log n$

Problems with Polynomial Solutions

Polynomial: sort, maximum, stable matching, counting inversions, interval selection, shortest paths, MST, bipartite matching, maximum flow

Not Polynomial:



Black to move

Generalized Checkers:
given position, who has winning strategy?

worst case $\Omega(c^n)$ for some c
(requires exponential time)

Undecidable: HALT - given program P and input x , does P go into infinite loop on x ?
↳ no algorithm to solve

Lower Bounds

(asymptotic)
Lower Bound: $f(n)$ such that any solution for X has worst case $\Omega(f(n))$

SORT: lower bound $n \log n$

MAXIMUM: lower bound $n - 1$
(exact, and lower bound on best case too)

algorithms that handle only special cases can beat these

counting sort: $\Theta(n)$ if the inputs are in $0, \dots, n-1$

bucket sort: expected $\Theta(n)$ if inputs are uniformly randomly distributed over $[0, 1)$

Decision Trees

Decision tree: tree of all execution paths with nodes representing branches based on evaluation of inputs

BUBBLESORT(a)

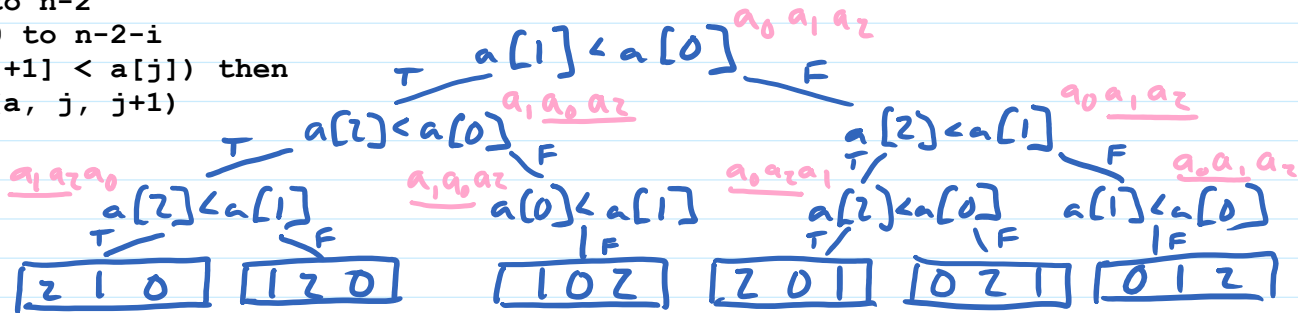
$n = \text{len}(a)$

for $i = 0$ to $n-2$

 for $j = 0$ to $n-2-i$

 if $(a[j+1] < a[j])$ then

 swap($a, j, j+1$)



Decision Trees

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BUBBLESORT(a)

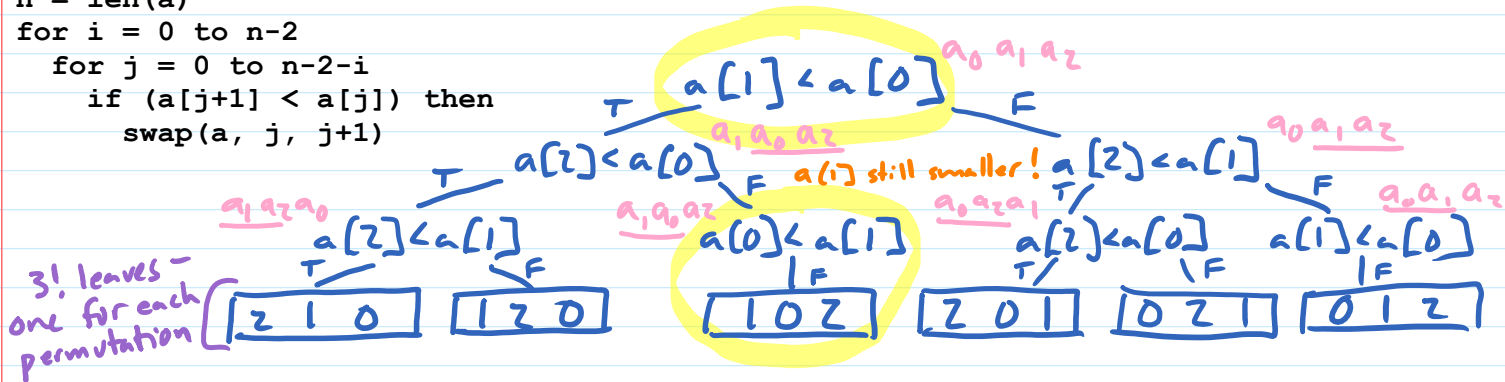
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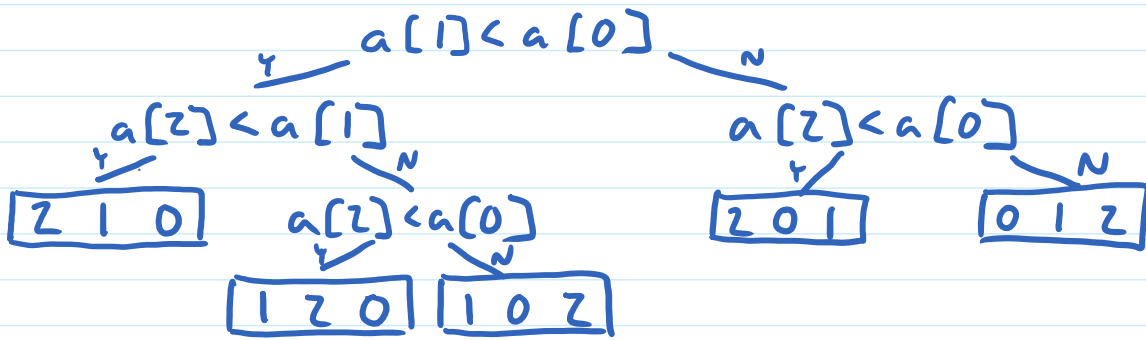
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$n!$ permutations $\rightarrow \geq n!$ leaves \rightarrow height $\geq \log_2 n! \rightarrow$ height $\in \Omega(\ln \log n) \rightarrow$ worst case $\Omega(\ln \log n)$
 ↑
 information theoretic lower bound

Missing Leaf



For which input does this decision tree give the incorrect output?

a) 30, 20, 10

c) 10, 20, 30

b) 5, 10, 2

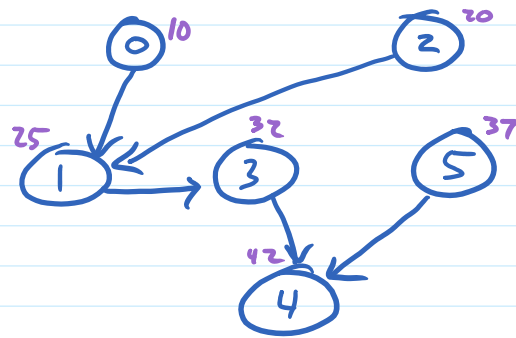
d) 4, 8, 5

Comparison Graphs

Comparison Graph: records results of comparisons of inputs

```
MAXIMUM(a)
n = len(a)
max = 0
for i = 1 to n-1
  if (a[i] > a[max]) then
    max = i
return max
```

$a = [10 | 25 | 20 | 32 | 42 | 37]$



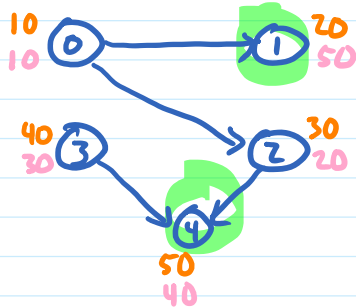
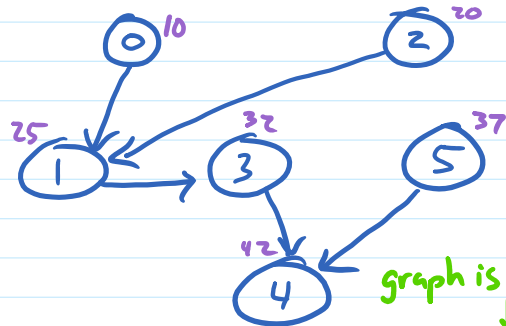
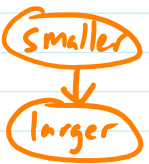
Smaller
↓
larger

Comparison Graphs

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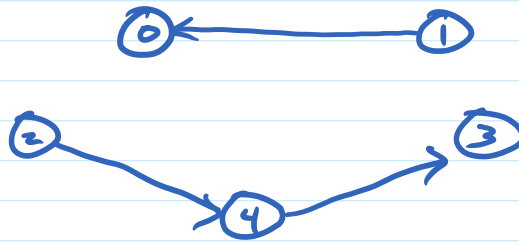
input 1
input 2

output: a[4] is max
wrong for input 2!

graph is connected
↓
has n-1 edges
↓
worst case is n-1 comparisons

Missing Comparison

A purported solution for MAXIMUM terminated with the following comparison graph and output.



output: $a[3]$ is the max

Which of the following inputs most it give the wrong answer for?

a) 3, 2, 4, 8, 6

c) 15, 11, 4, 8, 6

b) 1, 2, 3, 4, 5

d) 5, 1, 4, 8, 6